

PATENT



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CERTIFICATE OF MAILING

I hereby certify that this COMMUNICATION and the documents referred to as enclosed therein are being deposited with the United States Postal Service on the date indicated below with sufficient postage as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, Attn: BOX MISSING PARTS.

Thomas E. Northrup
Thomas E. Northrup, Reg. No. 33,268

6-14-99
Date of Deposit

Applicant: Rebek, et al.)	
Serial No.: 09/246,468)	Art Unit: 1714
Filed: February 9, 1999)	Examiner: Unassigned
For: GLYCOLURIL CORE MOLECULES FOR COMBINATORIAL LIBRARIES)	Our Ref.: TSRI 659.0

COMMUNICATION

Assistant Commissioner for Patents
Washington, D.C. 20231
Attn: BOX MISSING PARTS

Dear Sir:

In response to the Notice to File Missing Parts of Application mailed March 2, 1999, enclosed is a Declaration and Power of Attorney document signed by Applicants that refers to the above-identified patent application.

Enclosed is a check in the amount of \$130.00 for the surcharge set forth in 37 CFR §1.16(e). Please charge any additional fee concerning this matter to our Deposit Account No. 19-0962.

Respectfully submitted,

By Thomas E. Northrup
Thomas E. Northrup, Reg. No. 33,268

THE SCRIPPS RESEARCH INSTITUTE
10550 North Torrey Pines Road
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La Jolla, California 92037
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AAU 1774/8
SECTOR

Please type a plus sign (+) inside this box → ☐

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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/246,468
	Filing Date	February 9, 1999
	First Named Inventor	Rebek
	Group Art Unit	1714
	Examiner Name	Unassigned
Total Number of Pages in This Submission		Attorney Docket Number TSRI 659.0

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> To Convert a Provisional Application	<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	- Postcard
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	- Copy of Notice to file Missing Parts
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	- Declaration
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Thomas E. Northrup
Signature	<i>Thomas E. Northrup</i>
Date	June 14, 1999

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 6/14/99	
Typed or printed name	Thomas E. Northrup
Signature	<i>Thomas E. Northrup</i>
Date	June 14, 1999

Burdan Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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FEE TRANSMITTAL for FY 1999

Patent fees are subject to annual revision.
Small Entity payments must be supported by a small entity statement,
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.

TOTAL AMOUNT OF PAYMENT (\$ 510.00

Complete if Known

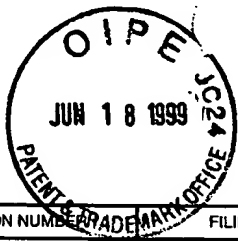
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METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)																																																																																																																																																																																																																																																																																																																									
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:</p> <p>Deposit Account Number <u>19-0962</u></p> <p>Deposit Account Name <u></u></p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p>2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p>		<h3>3. 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103 18	203 9	Claims in excess of 20																																																																																																																																																																																																																																																																																																																									
102 78	202 39	Independent claims in excess of 3																																																																																																																																																																																																																																																																																																																									
104 260	204 130	Multiple dependent claim, if not paid																																																																																																																																																																																																																																																																																																																									
109 78	209 39	** Reissue independent claims over original patent																																																																																																																																																																																																																																																																																																																									
110 18	210 9	** Reissue claims in excess of 20 and over original patent																																																																																																																																																																																																																																																																																																																									
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105 130	205 65	Surcharge - late filing fee or oath	130.00																																																																																																																																																																																																																																																																																																																								
127 50	227 25	Surcharge - late provisional filing fee or cover sheet.																																																																																																																																																																																																																																																																																																																									
139 130	139 130	Non-English specification																																																																																																																																																																																																																																																																																																																									
147 2,520	147 2,520	For filing a request for reexamination																																																																																																																																																																																																																																																																																																																									
112 920*	112 920*	Requesting publication of SIR prior to Examiner action																																																																																																																																																																																																																																																																																																																									
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action																																																																																																																																																																																																																																																																																																																									
115 110	215 55	Extension for reply within first month																																																																																																																																																																																																																																																																																																																									
116 380	216 190	Extension for reply within second month	380.00																																																																																																																																																																																																																																																																																																																								
117 870	217 435	Extension for reply within third month																																																																																																																																																																																																																																																																																																																									
118 1,360	218 680	Extension for reply within fourth month																																																																																																																																																																																																																																																																																																																									
128 1,850	228 925	Extension for reply within fifth month																																																																																																																																																																																																																																																																																																																									
119 300	219 150	Notice of Appeal																																																																																																																																																																																																																																																																																																																									
120 300	220 150	Filing a brief in support of an appeal																																																																																																																																																																																																																																																																																																																									
121 260	221 130	Request for oral hearing																																																																																																																																																																																																																																																																																																																									
138 1,510	138 1,510	Petition to institute a public use proceeding																																																																																																																																																																																																																																																																																																																									
140 110	240 55	Petition to revive - unavoidable																																																																																																																																																																																																																																																																																																																									
141 1,210	241 605	Petition to revive - unintentional																																																																																																																																																																																																																																																																																																																									
142 1,210	242 605	Utility issue fee (or reissue)																																																																																																																																																																																																																																																																																																																									
143 430	243 215	Design issue fee																																																																																																																																																																																																																																																																																																																									
144 580	244 290	Plant issue fee																																																																																																																																																																																																																																																																																																																									
122 130	122 130	Petitions to the Commissioner																																																																																																																																																																																																																																																																																																																									
123 50	123 50	Petitions related to provisional applications																																																																																																																																																																																																																																																																																																																									
126 240	126 240	Submission of Information Disclosure Stmt																																																																																																																																																																																																																																																																																																																									
581 40	581 40	Recording each patent assignment per property (times number of properties)																																																																																																																																																																																																																																																																																																																									
146 760	246 380	Filing a submission after final rejection (37 CFR 1.129(a))																																																																																																																																																																																																																																																																																																																									
149 760	249 380	For each additional invention to be examined (37 CFR 1.129(b))																																																																																																																																																																																																																																																																																																																									
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SUBMITTED BY		Complete (if applicable)	
Typed or Printed Name	Thomas E. Northrup	Reg. Number	33,268
Signature	<i>Thomas E. Northrup</i>	Deposit Account User ID	
Date	6/14/99		

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

#3



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	TRADE MARK OFFICE	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/246,468		02/09/99	REBEK JR.	J TSRI-659.0

0242/0302

THOMAS E NORTHRUP
THE SCRIPPS RESEARCH INSTITUTE
10550 NORTH TORREY PINES ROAD
MAIL DROP: TPC-8
LA JOLLA CA 92037

NOT ASSIGNED

1714

DATE MAILED: 03/02/99

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 130.00.

- ☐ 1. The statutory basic filing fee is:
 - ☐ missing.
 - ☐ insufficient.Applicant must submit \$ _____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- ☐ 2. The following additional claims fees are due:
 - \$ _____ for _____ total claims over 20.
 - \$ _____ for _____ independent claims over 3.
 - \$ _____ for multiple dependent claim surcharge.Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.
- ☒ 3. The oath or declaration:
 - ☒ is missing or unsigned.
 - ☐ does not cover the newly submitted items.An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.
- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
- ☐ 8. The application was filed in a language other than English.
Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.12(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- ☐ 9. OTHER: _____

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice **MUST** be returned with the reply.

m. 00
Customer Service Center
Initial Patent Examination Division (703) 308-1202

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